Justification:
Safety Instruction

(Maintenance)

Private Owner Circular Letter 628 Issue 2

Title

Supplier Accreditation, Safety Critical Work and Staff Competence

AUTHORISATION

Authorised by:

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J. Collinson, Head of Traction & Rolling Stock Engineering

1. **INTRODUCTION**

POCL 628, Issue 2 mandates the requirements on Private Owners with regards to:

a). Supplier qualification for safety critical engineering products and services.

b). Safety critical work and staff competence.

POCL 628, Issue 2, includes the requirements previously prescribed in POCL 570 which is superseded.

2. **INSTRUCTION**

2.1 Supplier Qualification

Private Owners shall ensure that the procurement of safety critical engineering products and services is only from suppliers (for example stockholders, manufacturers, maintainers, overhaulers and repairers) accredited as such to supply the Railway Industry. This applies to both new and overhauled components.

Private Owners shall advise the Senior PWRA Engineer, PWRA Management Group of the identity of all their suppliers of 'nominated components' as listed in PWRA Clause 13 D. This list of safety critical components is reproduced below for ease of reference:

Axleboxes and Bearings
Bogies
Brakegear Components
Buffers
Drawbar Couplings
Drawgear
Springs
Suspension Components
Tanks and Fittings
Wheels and Axles

Accreditation shall be achieved through audit of suppliers. If there is evidence of such an acceptable qualification (covering the same scope of supply) and the audit was carried out within the last two years, this may be used by Owners until re-audit is required at the end of the two year period.

Where accreditation cannot be demonstrated, Owners shall carry out an audit in accordance with Freight Technical Committee (FTC) business standard FTC/BS/005, Issue 1, 'FTC Audit Protocol'. Details of accreditation, supported by the relevant audit report, shall be submitted to the Senior PWRA Engineer.

Note: The qualification of overseas suppliers to the UK Rail Industry may not be fully compliant as determined by FTC/BS/005, Issue I, 'FTC Audit Protocol' (for example Drugs and Alcohol Policy). In such cases the Senior PWRA Engineer shall decide whether components or services from such a source are acceptable in terms of their technical compliance and suitability.

In the event that a supplier is found to be unacceptable for qualification, the Senior PWRA Engineer shall require all goods supplied to be traced and further action taken as appropriate to ensure continued safe operation.

Private Owners shall maintain a full list of their qualified suppliers of safety critical engineering products and services and shall have a system in place to ensure that this list is maintained in date. This shall be subject to regular audit by the PWRA Management Group.

2.2 Safety Critical Work and Staff Competence

Owners are directed towards the Railway and Other Guided Transport Systems (Safety) documentation i.e. ROGS legislation and in particular to guidance on the new legislation provided by the Office of Rail Regulation (ORR) as follows:

a). Managing Fatigue in Safety Critical Work July 2006.
b). Developing and Maintaining Staff Competence 2007.
c). Guidance on Regulations April 2006.

Electronic copies of all the above documents are available from the ORR website at www.rail-reg.gov.uk.

3. SCOPE

This instruction is applicable to all Owners that are PWRA members.

4. IMPLEMENTATION

This document shall be implemented immediately.

In the event of any query arising, or clarification required, please contact:

Senior PWRA Engineer
PWRA Management Group
Room 207 Derwent House
rtc Business Park
London Road
Derby
DE24 8UP